MF1P(H)x1y1 MIFARE Plus EV1 Rev. 2.0 — 14 April 2016 366920

Preliminary short data sheet COMPANY PUBLIC

1. General description

Migrate classic contactless smart card systems to the next security level with the next evolution of the proven MIFARE Plus product family. MIFARE Plus EV1 brings benchmark security and additional features to mainstream contactless smart card applications. It is the only mainstream IC compatible with MIFARE Classic EV1 1K (MF1S50yyX/V1), MIFARE Classic EV1 4K (MF1S70yyX/V1) and the MIFARE Plus EV0 product family (MF1PLUSx0y1 and MF1SPLUSx0y1) which offers a seamless upgrade path for existing infrastructure and services.

MIFARE Plus EV1 enables system operators to selectively upgrade security relevant applications. The ability to operate non-security relevant applications on existing CRYPTO1 infrastructure minimizes total cost of ownership (TCO) for the whole infrastructure eco-system. After switching to Security Level 3, MIFARE Plus EV1 uses Advanced Encryption Standard (AES) based on open global standards for cryptographic methods for authentication, data integrity protection and data encryption.

Variants with EEPROM sizes up to 4K cater for the growing number of contactless applications and thus growing memory needs. This support empowers system operators to add future applications as needed while relying on an established product standard. The use of the MIFARE Application Directory (MAD) simplifies the future on-boarding of new applications on a MIFARE Plus EV1, see <u>Ref. 5</u>.

AES for data encryption and integrity protection is also used for the secure end-to-end channel communication capabilities of MIFARE Plus EV1. This new evolution allows system operators to remotely manage card content over-the-air, even for Crypto1 operated applications. Additional applications and services can thus be created remotely after card issuance in a secure way, further enhancing TCO.

The Transaction MAC feature securely verifies the authenticity of a transaction. In example for systems with multiple service providers that are using the same wallet and clearing service, the Transaction MAC feature proves to the clearing house that transactions between service providers and customers are genuine. It can also be used to verify transactions in numerous offline scenarios or where real-time online authentication capabilities are not feasible for system operators.

For easy integration with mobile devices and other convergence media, MIFARE Plus EV1 also supports communication via ISO/IEC 7816-4 APDUs. To increase flexibility, system can freely choose to communicate in the native and APDU mode.





2. Features and benefits

- 2 kB, 4 kB EEPROM
- 7-byte UID, 4-byte NUID
- Supports ISO/IEC 14443-3¹ Random ID for all UID types
- Communication speed up to 848 kbps
- Freely configurable access conditions
- Security Level (SL) concept for seamless migration from legacy infrastructure to high level SL3 security
- Sequential writing of the personalization keys (in SL0)
- AES-128 cryptography for authentication and secure messaging (optional in SL1, mandatory in SL3)
- SL3 CardSecurityLevel or sector-by-sector security level upgrade possible (SectorSecurityLevel)
- SL1SL3Mix mode to allow secure back-end connections into SL1 sectors
- Multi-sector authentication, multi-block read and write
- Anti-tearing mechanism for AES keys, sector trailers, configuration and optionally for data block writing
- Virtual card concept using ISO/IEC 7816-4 compliant selection method
- Proximity check fully ISO/IEC 14443-3 compliant
- Transaction MAC on value and data blocks
- Direct commit personalization from SL0 to SL1 or SL3
- Common Criteria Certification: EAL5+ targeted
- ECC Originality signature

3. Applications

- Public transportation
- Access management
- Electronic toll collection
- Loyalty and micro-payment

^{1.} ISO/IEC 14443-x used in this data-sheet refers to ISO/IEC 14443 Type A.

4. Quick reference data

Remark: all values are target values only and subject to change until final product release.

Symbol	Parameter	Conditions	Min	Тур	Max	Unit
Ci	input capacitance MF1P1y1	T _{amb} = 25°C; f _i = 13.56 MHz; 1.5V RMS	16.15	17	17.85	pF
	input capacitance MF1PHx1y1	T _{amb} = 25°C; f _i = 13.56 MHz; 1.5V RMS	66.5	70	73.5	pF
fi	input frequency		-	13.56	-	MHz
EEPROM cl	haracteristics					
t _{ret}	retention time	T _{amb} = 22 °C	25	-	-	year
N _{endu(W)}	write endurance	T _{amb} = 22 °C; excluding anti-tearing for AES keys or sector trailers in SL 3	200000	500000	-	cycle

5. Ordering information

Type number	Packag	e		Configura	tion	
	Name	Description	Version	EEPROM	Input Cap.	UID
MF1P2101DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	17 pF	7-byte
MF1P2131DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	17 pF	4-byte NUID
MF1P4101DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	17 pF	7-byte
MF1P4131DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	17 pF	4-byte NUID
MF1P2101DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	17 pF	7-byte
MF1P2131DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	17 pF	4-byte NUID
MF1P4101DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	17 pF	7-byte
MF1P4131DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	17 pF	4-byte NUID
MF1P2101DUD/01	FCC	8-inch wafer (Sawn, 120 μm thickness) ^[2]	SOT500-2	2k byte	17 pF	7-byte
MF1P2131DUD/01	FCC	8-inch wafer (Sawn, 120 μm thickness) ^[2]	SOT500-2	2k byte	17 pF	4-byte NUID
MF1P4101DUD/01	FCC	8-inch wafer (Sawn, 120 μm thickness)[2]	SOT500-2	4k byte	17 pF	7-byte
MF1P4131DUD/01	FCC	8-inch wafer (Sawn, 120 μm thickness) ^[2]	SOT500-2	4k byte	17 pF	4-byte NUID
MF1P2101DUF/01	FCC	8-inch wafer (Sawn, 75 µm thickness)[2]	SOT500-2	2k byte	17 pF	7-byte
MF1P2131DUF/01	FCC	8-inch wafer (Sawn, 75 µm thickness)[2]	SOT500-2	2k byte	17 pF	4-byte NUID
MF1P4101DUF/01	FCC	8-inch wafer (Sawn, 75 µm thickness)[2]	SOT500-2	4k byte	17 pF	7-byte
MF1P4131DUF/01	FCC	8-inch wafer (Sawn, 75 µm thickness)[2]	SOT500-2	4k byte	17 pF	4-byte NUID
MF1PH2101DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	70 pF	7-byte
MF1PH2131DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	70 pF	4-byte NUID
MF1PH4101DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	70 pF	7-byte
MF1PH4131DA4/01	MOA4	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	70 pF	4-byte NUID
MF1PH2101DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	70 pF	7-byte
MF1PH2131DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	2k byte	70 pF	4-byte NUID
MF1PH4101DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	70 pF	7-byte
MF1PH4131DA6/01	MOB6	Plastic lead-less module carrier package ^[1]	SOT500-2	4k byte	70 pF	4-byte NUID
MF1PH2101DUD/01	FCC	8-inch wafer (Sawn, 120 μm thickness) ^[2]	SOT500-2	2k byte	70 pF	7-byte
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MF1PH4101DUF/01	FCC	8-inch wafer (Sawn, 75 μm thickness)[2]	SOT500-2	4k byte	70 pF	7-byte
	FCC	8-inch wafer (Sawn, 75 µm thickness)[2]	SOT500-2	4k byte	70 pF	4-byte NUID

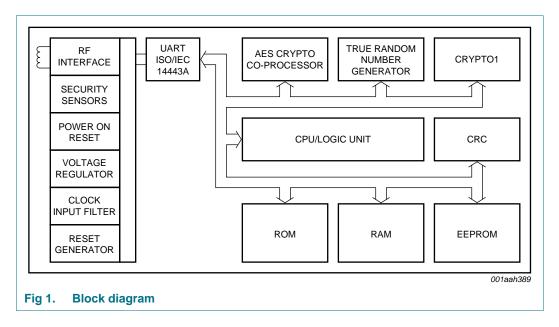
Table 2.Ordering information

[1] Plastic lead-less module carrier package; 35 mm wide tape

[2] 8-inch wafer (Sawn; on film frame carrier; electronic fail die marking according to SECS-II format), see <u>Ref. 2</u>

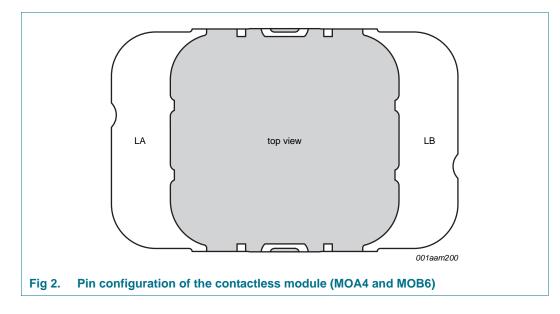


6. Block diagram



7. Pinning information

7.1 Pinning



7.2 Pin description

Table 3. Pin description (MOA4 and MOB6)

Symbol	Pin	Description
LA	LA	antenna coil connection LA
LB	LB	antenna coil connection LB

MF1P(H)x1y1_SDS

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8. Functional description

8.1 Memory organization

The 4 kB EEPROM memory (MF1P(H)41y1) is organized in 32 sectors of 4 blocks and in 8 sectors of 16 blocks. The 2 kB EEPROM memory (MF1P(H)21y1) is organized in 32 sectors of 4 blocks. One block consists of 16 bytes.

						BA			EKS	WITH	IIN A	BLO	UK					
SECTOR	BLOCK	0	1	2	3	4	5(1)	6	7	8	9	10	11	12	13	14	15	DESCRIPTION
39	15		CRYF	PTO1	key A	A		а	ccess	s byte	s	CI	RYP	TO1 k	key B	or da	ata	sector trailer 39
	14																	data
	13 																	data
	2																	data
	1																	data data
	Ŭ																	
32	15		l CRYF	PTO1	key A	4		a	ccess	s byte	s	C	RYP	I FO1 k	key B	or da	ata	sector trailer 32
-	14		1											1				data
	13																	data
	2																	data
	1																	data
0.1	-																	data
31	3			101	key A	4		8	ccess	s byte	S		RTP	IO1 K I	key B	or aa	ata	sector trailer 31 data
	1																	data
	0																	data
											_							
0	3			PTO1	key A	A		a	ccess	s byte	S	CI	RYP	FO1 k	key B	or da	ita	sector trailer 0
	2																	data data
	Ö																	manufacturer data
																	· · · ·	001aaj84
00.00																		
) CRYPT(D1 key A in se	ecurity	level	0 ar	nd 1;	plair	n text	acc	ess t	oyte i	n se	curity	y lev	el 3				

8.1.1 Manufacturer block

The first data block (block 0) of the first sector (sector 0) contains the PICC manufacturer data. This block is programmed and write protected during the production test.

8.1.2 Data blocks

Sectors 0_D to 31_D contain 3 blocks each and sectors 32_D to 39_D contain 15 blocks for data storage. The data blocks can be configured using the access bits as:

- read/write blocks for storing binary data
- value blocks

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Value blocks are special counters where the stored value can be manipulated with specific commands such as Increment, Decrement and Transfer.

These value blocks have a fixed data format enabling error detection and correction with backup management to be performed.

The MIFARE Plus EV1 in security level 3 provides two more commands which can be used to optimize performance when using value blocks. These are:

- IncrementTransfer
- DecrementTransfer

A successful mutual authentication is required to allow any data operation.

8.1.2.1 Access conditions

The access conditions for every data block and the sector trailer itself are stored in the sector trailer of the corresponding sector.

The access bits control the rights of memory operations using the secret keys A and B. The access conditions may be altered after authentication with the relevant key and the current access condition allows this operation.

Furthermore, value blocks are configured using the access bits.

8.1.3 AES keys

AES keys are not shown in the memory map. The keys are stored in a separate memory section and can be updated and used by referencing the Key Number. Updates of AES keys as well as the update of the sector trailers is protected against tearing events. This anti-tearing mechanism is done by the PICC itself. The EEPROM stays in a defined status, even if the PICC is removed from the electromagnetic field during the write operation.

8.1.4 Originality function

MIFARE Plus EV1 offers two features to prove genuineness of the IC. The first originality function is implemented by an AES authentication with the originality key and is backwards compatible to MIFARE Plus EV0. The authentication is performed in ISO/IEC 14443-4 protocol layer.

The second asymmetric originality signature is based on ECC and only requires a public key for the verification. The verification itself is done on the infrastructure side.

8.2 Virtual Card Architecture

One of the trends expected is that mobile phones and other personal devices are used for making contactless transactions, in addition to the usage of traditional contactless cards (PICCs).

In the case of mobile phones used for contactless operation, there needs to be multi-application functionality of unrelated service providers and unrelated "Card Issuers" and a single mobile phone should work with multiple infrastructures. However, this multi-application functionality is to be such that it is transparent to the various installations and that the mobile phone can be accessed like a normal contactless card.

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With the implemented Virtual Cards (VCs) concept, a Proximity Device (PD), e.g. the Secure Element in a mobile phone, can hold multiple VCs. With having multiple VCs in a single device there are several requirements:

- 1. It must be determined how the appropriate VC to use gets selected, in other words how a specific VC is to be presented at a given moment.
- 2. Privacy considerations need to be maintained. When a device can be freely interrogated about its UID, VC specific information (like implementation, file layout, ...) or even only to what installations the supported VCs belong, this data or the combination of a subset of it would make it possible to track a person from location to location. Furthermore, the combination of VCs could reveal a category of persons.
- 3. Performance and ease of use. The selection of the appropriate VC must be done fast, in order to increase transaction times only minimally.
- 4. Compliancy and portability to existing Card OSes supporting application selection methods on secure chip technologies, as defined by Java Card and GlobalPlatform.

The Virtual Card Architecture as implemented on the MF1P(H)x1y1 has the following benefits:

- Fast VC selection is possible by issuing a single ISOSelect command as defined by ISO/IEC 7816-4
- If Random ID is used, the UID can be retrieved in a very fast and secure way from the VC using ISOSelect and ISOExternalAuthenticate
- Detect whether a VC belongs to certain installation and do so fast and privacy friendly
- Proximity Check may also be enforced via a configuration, giving additionally protection against relay attacks
- Exchange capabilities, e.g. a key-set version indication together with the fast retrieval of the UID.

8.3 Proximity check

The Proximity Check is used in conjunction with the Virtual Card Architecture, see <u>Section 8.2</u>. It can also be used afterwards. It allows the PCD to verify whether the PICC is within a certain physical proximity.

MF1P(H)x1y1 enables ISO/IEC 7816-4 compliant Proximity Check by supporting wrapping of the native Proximity Check commands into ISO/IEC 7816-4 compliant APDUs, as outlined in <u>Section 8.6.3</u>.

The SL3 and SL1 after ISO/IEC 14443-4 activation offers the Proximity Check function which protect the PICC from relay attacks by measurement of the round trip time of a challenge-response interaction. If an attacker wants to mount a relay attack, then he necessarily introduces delays. Depending on how large the delays are, they may be detected. The accuracy of the time measurement and the residual relay attack window that remains is dependent on the intrinsic latency of the PICC as well as of the implementation of the reader.

8.4 AES Secure Messaging

8.4.1 AES security concept

A MIFARE Plus transaction includes a set of operations from card activation, authentication to the reading and changing of data on the card. Each interaction with data on the card requires an authentication with the appropriate sector key. The result of such an authentication is called a session.

MIFARE Plus EV1 supports two secure messaging modes:

- EV0 secure messaging, which is fully backwards compatible with MIFARE Plus EV0.
- EV1 secure messaging.

The PCD can decide which mode to use.

8.4.2 Multi-sector authentication

This feature is available in security level 3 for data which is spread over multiple sectors to improve transaction performance.

Providing that such sectors are secured with identical keys (key value and key type) only a single authentication is required to read and/or write data from these sectors. There is no need to re-authenticate when accessing any data within these sectors. Therefore it is possible to configure a card in such a way that operating with only one authentication is needed in security level 3 to access all sectors.

8.5 Transaction MAC

The Transaction MAC feature helps preventing fraudulent merchant attacks. In example, it allows a merchant operating a point-of-sale terminal to prove that the transactions he executed with customers are genuine towards the back-end system. This is done by letting the card generate a Transaction MAC over the transaction with a key shared only by the card and the back-end system. The Transaction MAC feature can be activated block by block. Note that the pre-personalization of the card has to be done by the card issuer and not by the merchants provider.

MAC generation also involves a Transaction MAC Counter maintained by the card, which allows the back-end system to detect replay attempts by the merchant. In addition, this counter allows the back-end system to detect missing transactions, which in turn allows detecting fraud where in example the merchant has increased the balance without reporting the transaction. Note that a counter also allows for easier detection mechanisms of replay than keeping logs with fingerprints of every transaction.

As only fraud detection might not be sufficient if one cannot point to the fraudulent merchant, an additional configuration option allows the back-end to require that the merchant's terminal commits its identity, called ReaderID, during the transaction. This requires support of a SAM which allows secure commit of its UID.

The card supports linking ReaderIDs of merchants by storing the committed ReaderID and returning the ReaderID of the previous transaction. This way the back-end system can detect which merchant did not report missing transactions.

8.6 Card activation and communication protocol

The ISO/IEC 14443-3 anticollision mechanism allows for simultaneous handling of multiple PICCs in the field. The anticollision algorithm selects each PICC individually and ensures that execution of a transaction with a selected PICC is performed correctly without data corruption from other PICCs in the field.

There are two different versions of the PICC. The UID is programmed into a locked part of the NV-memory reserved for the manufacturer:

- unique 7-byte serial number
- non-unique 4-byte serial number

Due to security and system requirements, these bytes are write-protected after being programmed by the PICC manufacturer at production time.

Remark: The programmed 4-byte NUID serial number is not globally unique which has to be considered in the contactless system design. See <u>Ref. 14</u> for further information regarding handling of UIDs.

The customer must decide which UID length to use when ordering the product, see <u>Table 2</u> for ordering information.

A MF1P(H)x1y1 with 7-byte UID supports the additional UID configuration options as defined in <u>Ref. 3</u> using the MF_PersonalizeUIDUsage command after ISO/IEC 14443-3 activation. Note that the MF_PersonalizeUIDUsage command can only be sent once.

During personalization, the PICC can be configured to support Random ID. The user can configure whether Random ID or fixed UID shall be used. According to ISO/IEC 14443-3 the first anticollision loop (see <u>Ref. 7</u>) returns the Random Number Tag 08h, the 3-byte Random Number and the BCC, if Random ID is used. In case Random ID is configured, the real UID can be retrieved using the ISOSelect and ISOExternalAuthenticate commands or by reading out block 0 of sector 0.

8.6.1 Backwards compatibility protocol

The backwards compatibility of this product, as used in security level 1, runs on the same protocol layer as MIFARE Classic EV1 1K and MIFARE Classic EV1 4K. The protocol consists of the following components:

- Frame definition: according to ISO/IEC 14443-3
- Bit encoding: according to ISO/IEC 14443-2
- Error code handling: handling is proprietary as error codes are formatted in half bytes.
- Command specification: commands are proprietary. Please use the specification as in <u>Ref. 3</u> and <u>Ref. 4</u> and the additional commands which are only implemented in MIFARE Plus EV1 as described in this document and in <u>Ref. 1</u>.

The following security levels can run on this protocol:

- Security Level 0
- Security Level 1

8.6.2 ISO/IEC 14443-4 Protocol

The ISO/IEC 14443-4 Protocol (also known as T=CL) is used in many processor cards. This protocol is used for the MIFARE Plus EV1 with the following security levels:

- Security Level 0: all commands
- Security Level 1: for the sector or card level SL switch, the AES originality function, AES Key update, configuration data update, GetVersion and Read_Sig
- Security Level 3: all commands

Remark: The ISO/IEC 14443-4 protocol is also used to operate any sector that has been switched to SL3 or when operating a sector using SL3 commands which has been switched to SL1SL3Mix mode.

8.6.3 ISO/IEC 7816-4 Protocol

MIFARE Plus EV1 supports the APDU message structure according to ISO/IEC 7816-4 for

- an optional wrapping of the native APDU format
- for the additionally implemented standard ISO/IEC 7816-4 commands for Virtual Card selection

8.7 Security level switching

The MIFARE Plus EV1 offers a unique feature to support migration from CRYPTO1 based systems to AES based operation. The migration on the card-side is done using different security levels supporting different cryptographic algorithms and protocols. There are three security levels:

- Security level 0: initial delivery configuration, used for card personalization
- Security level 1: 3-Pass CRYPTO1 Authentication (backwards compatibility mode with MIFARE Classic EV1 1K and MIFARE Classic EV1 4K) with optional AES authentication, optional 3-Pass AES Authentication and secure messaging.
- Security level 3: 3-Pass authentication based on AES, new data manipulation commands secured by AES encryption and an AES based MACing method

The security level switching (i.e. from security level 1 to security level 3) is performed using the dedicated AES authentication switching keys.

Security level switching can be done for the whole card (CardSecurityLevel) or for dedicated sectors only (SectorSecurityLevel). In case of dedicated sectors, these can also be switched to a mixed mode SL1SL3Mix, where both security level 1 and security level 3 operations are accepted. Security level switching, both at card or at sector level, is only possible to a higher security level and not to a lower security level.

8.7.1 Switching CardSecurityLevel

CardSecurityLevel switching of MF1P(H)x1y1 means that the whole PICC is switching its security level at once. This is also the method available in MIFARE Plus EV0 and is done by an AES authentication with the L3SwitchKey.

The product is delivered in SL0. It is possible to upgrade the PICC to security level 3 either passing through the security level 1 or directly from security level 0.

8.7.2 Switching SectorSecurityLevel

Switching the Security Level of dedicated MIFARE Sectors is done by a multiple key AES authentication, called AuthenticateSectorSwitch, addressing the L3SectorSwitchKey or L1L3MixSectorSwitchKey, and the AESSectorKeyB of the targeted sectors. This command is only available after ISO/IEC 14443-4 activation in security level 1.

8.8 Security level 0

Security level 0 is the initial delivery configuration of the PICC. The card can be operated either using the backwards compatibility protocol or the ISO/IEC 14443-4 protocol.

In this level, the card can be personalized including the programming of user data as well as CRYPTO1 and/or AES keys. In addition, the originality function can be used.

The following mandatory AES keys must be written, using the WritePerso command before the PICC can be switched to security level 1 or security level 3.

Security level switching is performed using the CommitPerso command:

- CardConfigurationKey
- CardMasterKey
- L3SwitchKey

When the pre-personalization of the PICC is finished it is possible to upgrade the PICC from security level 0 either to security level 1 or directly to security level 3 with CommitPerso command.

Using the originality function, it is possible to verify that the chip is a genuine NXP Semiconductors MIFARE Plus.

8.9 Security level 1

Security level 1 offers the same functionality as a MIFARE Classic EV1 1K and MIFARE Classic EV1 4K using the backwards compatibility protocol, see <u>Ref. 3</u> and <u>Ref. 4</u>.

Furthermore, an optional AES authentication is available in this level without affecting the MIFARE Classic EV1 1K and MIFARE Classic EV1 4K functionality. The authenticity of the card can be proven using strong cryptographic means with this additional functionality.

Response timings may differ from the MIFARE Classic EV1 1K/4K products.

In addition to the backwards compatibility mode, after a successful ISO/IEC 14443-4 activation the originality function can be executed or the CardSecurityLevel or SectorSecurityLevel switched to higher security levels. In addition to those features already available in MIFARE Plus EV0, MIFARE Plus EV1 offers the possibility to update AESSectorKeys and VCSystemData.

MIFARE Plus EV1 can be operated like MIFARE Plus EV0 in SL1 which means that all memory operations on each sector are requiring legacy MIFARE Classic EV1 commands using CRYPTO1 enciphering. Beyond that, MIFARE Plus EV1 offers the flexibility to either switch distinct sectors to SL3 and operate them in AES secure messaging or enable SL1SL3MixMode on distinct sectors. Sectors in SL1SL3MixMode can be operated either using the backwards compatible MIFARE Classic EV1 commands when activated to ISO/IEC 14443-3 or using AES secure messaging when activated to ISO/IEC 14443-4. In example, this enables end-to-end communication to a MIFARE Plus EV1 using a secure AES channel while leaving the operation in the application on MIFARE Classic EV1 commands for a migration period.

Also, the Transaction MAC feature is available in security level 1 after ISO/IEC14443-3 activation. The Transaction MAC processing in security level 1 of the Authentication, Read, Write, Increment, Decrement, Transfer and Restore commands is the same as their security level 3 equivalents with a few differences, as described later and in <u>Ref. 1</u>. The CommitReaderID command is not supported.

Using the originality function it is possible to verify if the chip is a genuine NXP Semiconductors MIFARE Plus EV1.

8.10 Security level 3

The operation in security level 3 is solely based on the ISO/IEC 14443-4 protocol layer. The usage of the backwards compatibility protocol is not possible.

In security level 3, a mandatory AES authentication between PICC and reader is conducted, where two keys are generated as a function of the random numbers from the PICC and the reader as well as of the shared key.

These two session keys are used to secure the data which is exchanged on the interface between the card and reader. One of the two keys is used to ensure the confidentiality of the command and the response while the other key ensures the integrity of the command and the response.

MIFARE Plus EV1 features the secure messaging from its predecessor MIFARE Plus EV0 and it offers the possibility to choose the newly introduced EV1 secure messaging. On First Authenticate, the PCD can use the PCD capability bytes to chose between MIFARE Plus EV0 and MIFARE Plus EV1 secure messaging.

The reader can decide which security needs to be used in the communication between PICC and reader. In the simplest case, all commands are secured by a MAC, such that the PICC will only accept commands from the authenticated reader. Any message tampering is detected by verifying the MAC. All responses are appended by a MAC to prove to the reader that neither the command nor the response have been compromised.

If performance is the highest priority, the card can be configured to omit the MAC for read commands. The card then accepts read commands without knowing whether they are authentic. However, there is a mechanism to prove to the reader that the read response is resulting from the unmodified read command that it sent.

Other commands, like write commands, always need to have a MAC appended to ensure that no memory changes are carried out without proving the authenticity of the command.

The reader can decide for each command whether a MAC is included in the response. When the appropriate MAC is received, due to linked MACs the reader knows that the command and commands before it were properly executed.

All commands between two consecutive First Authenticate commands belong to one transaction and the MACing mechanism assures integrity of the whole transaction.

If the MAC on read responses is omitted, the integrity of all read responses within one session can still be verified by including a MAC on one read response before issuing the next First or Following Authenticate command.

To balance performance and confidentiality of the transaction, each data block in a sector can be configured to allow or disallow sending/receiving plain data.



9. Look-up tables

9.1 Security level 0, 1, 2, 3: ISO/IEC 14443-3

Table 4. ISO/IEC 14443-3

Command	Description
REQA	the REQA and ATQA commands are fully implemented according to ISO/IEC 14443-3
WUPA	the WAKE-UP command is fully implemented according to ISO/IEC 14443-3
ANTICOLLISION/SELECT cascade level 1	the ANTICOLLISION and SELECT commands are fully implemented according to ISO/IEC 14443-3. The response is part 1 of the UID.
ANTICOLLISION/SELECT cascade level 2 for 7 byte UID version	the ANTICOLLISION and SELECT commands are fully implemented according to ISO/IEC 14443-3. The response is part 2 of the UID.
HALT	the HALT command is fully implemented according to ISO/IEC 14443-3

9.2 Security level 0, 1, 2, 3: ISO/IEC 14443-4

Table 5. ISO/IEC 14443-4

Command	Description
RATS	the response to the RATS command identifies the PICC type to the PCD.
PPS	the PPS command allows individual selection of the communication baud rate between PCD and PICC. It is possible for MF1PLUSx0 to individually set the communication baud rate independently for both directions.
DESELECT	deselection according to ISO/IEC 14443-4.

Please find more information on ISO/IEC 14443-3 in $\underline{\text{Ref. 7}}$ as well as on the settings of ATQA, SAK and ATS in $\underline{\text{Ref. 6}}$.

9.3 SL0 command overview

Table 6. SL0 command overview

Command	Description				
Commands available after ISO/IEC 14443-3 activation					
Read_Sig	Retrieve the ECC originality check signature.				
WritePerso	pre Personalization of AES Keys and all blocks				
CommitPerso	switch to SL1 or SL3				
AuthenticateFirst (part 1)	first authenticate				
AuthenticateNonFirst (part 1)	following authenticate				
AuthenticateContinue (part 2)	second authentication step				
VCSupportLastISOL3	check if the Virtual Card Concept is supported, communicate PCD capabilities and retrieve the UID				
Commands available after ISO/IEC 14443-4 activation					
GetVersion returns manufacturing related data of the PICC					

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Command	Description
Read_Sig	Retrieve the ECC originality check signature.
WritePerso	pre Personalization of AES Keys and all blocks
CommitPerso	switch to SL1 or SL3
AuthenticateFirst (part 1)	first authenticate
AuthenticateNonFirst (part 1)	following authenticate
AuthenticateContinue (part 2)	second authentication step
VC commands available after I	SO/IEC 14443-4 activation, using ISO/IEC 7816-4 protocol
ISOSelect	Select virtual card

Table 6. SL0 command overview ...continued

9.4 SL1 command overview

Table 7. SET command overview							
Command	Description						
MIFARE Classic commands							
MF Authenticate KeyA	authentication with KeyA						
MF Authenticate KeyB	authentication with KeyB						
MF Read	reading data						
MF Write	writing data						
MF Increment	incrementing a value						
MF Decrement	decrementing a value						
MF Restore	restoring a value						
MF Transfer	transferring a value						
Commands using backwards compatibility protocol, see Section 8.6.1							
Read_Sig	Retrieve the ECC originality check signature.						
SetConfigSL1	used to change MIFARE Plus EV1 specific configuration data.						
MF_PersonalizeUIDUsage	Personalize UID Usage as described in Ref. 3						
AuthenticateFirst (part 1)	first authenticate, protocol used as described in Section 8.6.1						
AuthenticateNonFirst (part 1)	following authenticate, protocol used as described in Section 8.6.1						
AuthenticateContinue (part 2)	second authentication step, protocol used as described in Section 8.6.1						
ReadPlainNoMAC_UnMACed	reading in plain, no MAC on response, no MAC on command						
VCSupportLastISOL3	check if the Virtual Card Concept is supported, communicate PCD capabilities and retrieve the UID						

Table 7. SL1 command overview

Command overview	
Command	Description
Authentication commands availab	le after ISO/IEC 14443-4 activation ^[1]
GetVersion	returns manufacturing related data of the PICC
Read_Sig	Retrieve the ECC originality check signature.
AuthenticateFirst (part 1)	first authenticate
AuthenticateNonFirst (part 1)	following authenticate
AuthenticateSectorSwitch (part 1)	used to switch single sectors to SL3
AuthenticateContinue (part 2)	second authentication step
ResetAuth	reset the authentication
Memory commands available after	ISO/IEC 14443-4 activation ^[2]
WriteEncryptedNoMAC	writing encrypted, no MAC on response, MAC on command
WriteEncryptedMAC	writing encrypted, MAC on response, MAC on command
Proximity check commands availa	ble after ISO/IEC 14443-4 activation
PreparePC	prepare for the Proximity Check
ProximityCheck	perform the precise measurement for the proximity check
VerifyPC	verify the proximity check
VC commands available after ISO/	IEC 14443-4 activation, using ISO/IEC 7816-4 protocol
ISOSelect	Select virtual card
ISOExternalAuthenticate	Authenticate PD

Table 7. SL1 command overview ...continued

[1] For security level switch, the originality function, sector security level switch and updating of AES keys and VCSystemData blocks

[2] For updating of AES keys and VCSystemData blocks

In addition to the commands listed in <u>Table 7</u>, all commands defined for SL3 communication are available for those sectors which have been switch to SL3 or SL1SL3Mix mode using the AuthenticateSectorSwitch feature.

9.5 SL3 command overview

Table 8. SL3 command overview

Command	Description
MIFARE Plus commands	
GetVersion	returns manufacturing related data of the PICC
Read_Sig	Retrieve the ECC originality check signature.
AuthenticateFirst (part 1)	first authenticate
AuthenticateNonFirst (part 1)	following Authenticate
AuthenticateContinue (part 2)	second authentication step
ResetAuth	reset the authentication
Additional Frame	proceed to next response frame in GetVersion

Table 8.	SL3	command	overview	continued
		oominana	010111011	

Command	Description
READ commands	
ReadEncryptedNoMAC_MACed	reading encrypted, no MAC on response, MAC on command
ReadEncryptedMAC_MACed	reading encrypted, MAC on response, MAC on command
ReadPlainNoMAC_MACed	reading in plain, no MAC on response, MAC on command
ReadPlainMAC_MACed	reading in plain, MAC on response, MAC on command
ReadEncryptedNoMAC_UnMACed	reading encrypted, no MAC on response, no MAC on command
ReadEncryptedMAC_UnMACed	reading encrypted, MAC on response, no MAC on command
ReadPlainNoMAC_UnMACed	reading in plain, no MAC on response, no MAC on command
ReadPlainMAC_UnMACed	reading in plain, MAC on response, no MAC on command
WRITE commands	
WriteEncryptedNoMAC	writing encrypted, no MAC on response, MAC on command
WriteEncryptedMAC	writing encrypted, MAC on response, MAC on command
WritePlainNoMAC	writing in plain, no MAC on response, MAC on command
WritePlainMAC	writing in plain, MAC on response, MAC on command
VALUE operations	
IncrementNoMAC	incrementing a value encrypted, no MAC on response, MAC on command
IncrementMAC	incrementing a value encrypted, MAC on response, MAC on command
DecrementNoMAC	decrementing a value encrypted, no MAC on response, MAC on command
DecrementMAC	decrementing a value encrypted, MAC on response, MAC on command
TransferNoMAC	transferring a value, no MAC on response, MAC on command
TransferMAC	transferring a value, MAC on response, MAC on command
IncrementTransferNoMAC	combined incrementing and transferring a value encrypted, no MAC on response, MAC on command
IncrementTransferMAC	combined incrementing and transferring a value encrypted, MAC on response, MAC on command

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Table 8.	SL3	command	overview	continued
		oominana	01011010	

Command	Description
DecrementTransferNoMAC	combined decrementing and transferring a value encrypted, no MAC on response, MAC on command
DecrementTransferMAC	combined decrementing and transferring a value encrypted, MAC on response, MAC on command
RestoreNoMAC	restoring a value, no MAC on response, MAC on command
RestoreMAC	restoring a value, MAC on response, MAC on command
Proximity check commands	
PreparePC	prepare for the Proximity Check
ProximityCheck	perform the precise measurement for the proximity check
VerifyPC	verify the proximity check
VC commands using ISO/IEC 7816-4	protocol
ISOSelect	Select virtual card
ISOExternalAuthenticate	Authenticate PD
Transaction MAC commands	
CommitReaderID	commit reader ID for Transaction MAC



10. Limiting values

Stresses above one or more of the limiting values may cause permanent damage to the device. Exposure to limiting values for extended periods may affect device reliability.

Table 9. Limiting values

In accordance with the Absolute Maximum Rating System (IEC 60134).

Symbol	Parameter		Min	Max	Unit	
l _l	input current		-	50	mA	
P _{tot} /pack	total power dissipation per package		-	200	mW	
T _{stg}	storage temperature		-55	125	°C	
T _{amb}	ambient temperature		-25	70	°C	
V _{ESD}	electrostatic discharge voltage on LA/LB	<u>[1]</u>	-	2	kV	

[1] ANSI/ESDA/JEDEC JS-001; Human body model: C = 100 pF, R = 1.5 k Ω



11. Abbreviations

Table 10. Abbreviations				
Acronym	Description			
AES	Advanced Encryption Standard			
APDU	Application Protocol Data Unit			
ATQA	Answer To reQuest			
ATS	Answer To Select			
BCC	Bit Count Check			
EEPROM	Electrically Erasable Programmable Read-Only Memory			
LCR	L = inductance, Capacitance, Resistance (LCR meter)			
MAC	Message Authentication Code			
NUID	Non-Unique IDentifier			
NV	Non-Volatile memory			
PCD	Proximity Coupling Device (Contactless Reader)			
PICC	Proximity Integrated Circuit Card (Contactless Card)			
PPS	Protocol Parameter Selection			
RATS	Request Answer To Select			
REQA	REQuest Answer			
SAK	Select AcKnowledge, type A			
SECS-II	SEMI Equipment Communications Standard part 2			
SEMI	Semiconductors Equipment and Materials International			
SL	Security level			
UID	Unique IDentifier			
VC	Virtual Card, one MIFARE Plus PICC is one virtual card			
WUPA	Wake Up Protocol A			



12. References

- [1] MIFARE Plus EV1 Data sheet, Doc. No. 3226**2
- [2] MF1P(H)x1y1 Wafer specification Data sheet addendum, Doc. No. 3441**
- [3] MIFARE Classic EV1 1K Data sheet, Doc. No. 2792**
- [4] MIFARE Classic EV1 4K Data sheet, Doc. No. 2794**
- [5] AN10787 MIFARE Application Directory (MAD) Application note, Doc. No. 0018**
- [6] MIFARE Type identification procedure Application note, Doc. No. 1843**
- [7] ISO/IEC 14443 PICC selection Application note, Doc. No. 1308**
- [8] ISO/IEC 7816-4:2005 Identification cards Integrated circuit cards Part 4: Organization, security and commands for interchange. January 2005.
- [9] NIST Special Publication 800-38A Recommendation for block cipher modes of operation: methods and techniques, 2001.
- [10] NIST Special Publication 800-38B Recommendation for block cipher modes of operation: The CMAC mode for authentication.
- [11] ISO/IEC 14443 Standard Identification cards contactless integrated circuit cards proximity cards.
- [12] Recommendation for block cipher modes of operation: methods and techniques FIPS PUB 197 ADVANCED ENCRYPTION STANDARD.
- [13] ISO/IEC 9797-1 Standard Information technology security techniques -Message Authentication Codes (MACs) - Part 1: Mechanisms using a block cipher.
- [14] MIFARE and handling of UIDs Application note, Doc. No. 1907**

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^{2. ** ...} document version number

13. Revision history

Table 11.Revision history

Document ID	Release date	Data sheet status	Change notice	Supersedes
MF1P(H)x1y1 v.2.0	20160414	Preliminary short data sheet	-	-

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Document status[1][2]	Product status ^[3]	Definition
Objective [short] data sheet	Development	This document contains data from the objective specification for product development.
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